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Dkt. 655/64696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Richard H. HARVEY

Serial No. : 09/827,738

Group Art Unit: 2171

Date Filed : April 6, 2001

Examiner:

For : DIRECTORY SEARCHING METHODS AND SYSTEMS

1185 Avenue of the Americas
New York, N.Y. 10036Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION-FILING DATE GRANTED
AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION

Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated May 14, 2001, applicant hereby transmits (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney, and (iii) check for \$130 surcharge for late filing of the Declaration.

I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as first class mail addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231.

Richard F. Jaworski
Richard F. Jaworski
Reg. No. 33,515

June 12, 2001
Date

Applicant also transmits for recordation (iv) an Assignment of this invention to Computer Associates Think, Inc., (v) Recordation Form Cover Sheet (Form PTO-1595), and (vi) check for the \$40 recordation fee.

The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard F. Jaworski", is written over a horizontal line.

RICHARD F. JAWORSKI, Reg. No. 33,515
Attorney for Applicants
Cooper & Dunham LLP
Tel.: (212) 278-0400



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COMMUNICATION SUBMITTING FORMAL DRAWINGS

Sir:

Transmitted herewith is a copy of 5 sheets of formal drawings (Figs. 1A-5) for inclusion
in the file of the above-identified application.

Respectfully submitted,

RICHARD F. JAWORSKI

Reg. No. 33,515

Attorney for Applicant

Tel.: (212) 278-0400

I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as first class mail addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231.

Richard F. Jaworski
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,738	04/06/2001	Richard H. Harvey	0655/64696

CONFIRMATION NO. 6701

FORMALITIES LETTER



OC000000006073434

RICHARD F. JAWORSKI
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, NY 10036

Date Mailed: 05/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE